PATENT #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



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4-15-02

Applicant

Rex Hill et al.

Application No.:

09/721,101

Filed

: November 21, 2000

Title

QOS SHAPING/PROVISIONING FOR DATA

RECEIVED

Grp./Div.

2661

APR 1 2 2002

Examiner Docket No.

To be assigned

39982/JEJ/X2

COMMUNICATION SWITCH

Technology Center 2600

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 April 4, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B with a listing of references that are known to applicant. Also enclosed is a copy of the European Search Report from the corresponding European case, dated February 18, 2002. Copies of each of the listed references are enclosed.

It is respectfully requested that these references be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited



in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

> Respectfully submitted, CHRISTIE, PARKER & HALE, LLP

Reg. No. 43,693 626/795-9900

JEJ/sd

Enclosures: PTO/SB/08A/B, w/references SD PAS425994.1-*-4/4/02 8:58 AM